ANNEXURE-'C'

## Investors Complaints Data

Name of the Depository Participant:
o3 Securities Private Limited

CDSL Registration Number:
IN-DP-764-2024

Data for the month ending - March 2024

| SN | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |  | Average Resolution time^ (in days) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  | Pending for less than 3 months | Pending for more than 3 months |  |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 7 | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2 | SEBI <br> (SCORES) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3 | Depositories | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 4 | Other <br> Sources (if any) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

Trend of monthly disposal of complaints

| SN | Month | Carried forward from the <br> previous month | Received | Resolved $^{*}$ | Pending** $^{*}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | $\mathbf{6}$ |
| 1 | March -2023 | 0 | 0 | 0 | 0 |
| 2 | April -2023 | 0 | 0 | 0 | 0 |
| 3 | May - 2023 | 0 | 0 | 0 | 0 |
| 4 | June -2023 | 0 | 0 | 0 | 0 |
| 5 | July -2023 | 0 | 0 | 0 | 0 |
| 6 | August -2023 | 0 | 0 | 0 | 0 |
| 7 | September -2023 | 0 | 0 | 0 | 0 |
| 8 | October -2023 | 0 | 0 | 0 | 0 |
| 9 | November -2023 | 0 | 0 | 0 | 0 |
| 10 | December -2023 | 0 | 0 | 0 | 0 |
| 11 | January -2024 | 0 | 0 | 0 | 0 |
| 12 | February -2024 | 0 | 0 | 0 | 0 |
| 13 | March - 2024 | 0 | 0 | 0 | 0 |
|  | Grand Total | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{0}$ |

*Complaints of previous months resolved in the current month, if any.
**Total complaints pending as on the last day of the month, if any.
${ }^{\wedge}$ Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

| SN | Year | Carried forward <br> from the <br> previous year | Received <br> during the <br> Year | Resolved <br> during the <br> year | Pending at the end <br> of the year |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | $2017-18$ | 0 | 0 | 0 | 0 |
| 2 | $2018-19$ | 0 | 0 | 0 | 0 |
| 3 | $2019-20$ | 0 | 0 | 0 | 0 |
| 4 | $2020-21$ | 0 | 0 | 0 | 0 |
| 5 | $2021-22$ | 0 | 0 | 0 | 0 |
| 6 | $2022-23$ | 0 | 0 | 0 | 0 |
| 7 | $2023-24$ | 0 | 0 | 0 | 0 |
| 8 | $2024-25$ | 0 | To be updated | To be updated | To be updated |
|  | Grand Total | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{0}$ | $\mathbf{0}$ |

Note: Above information is issued pursuant to CDSL communique number CDSL/OPS/DP/POLCY/2021/589 dated December 25, 2021

