

## **ANNEXURE-'B'**

## **Investors Complaints Data**

Name of the Stock Broker: o3 Securities Private Limited

**SEBI Registration Number:** INZ000291332

Data for the month ending – March 2024

SN	Received from	Carried forward from the previous month	during the month		Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0



## Trend of monthly disposal of complaints

SN	Month	Carried forward from the previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	March - 2023	0	0	0	0
2	April -2023	0	0	0	0
3	May - 2023	0	0	0	0
4	June -2023	0	0	0	0
5	July - 2023	0	0	0	0
6	August – 2023	0	0	0	0
7	September - 2023	0	0	0	0
8	October -2023	0	0	0	0
9	November -2023	0	0	0	0
10	December - 2023	0	0	0	0
11	January - 2024	0	0	0	0
12	February - 2024	0	0	0	0
13	March - 2024	0	0	0	0
	<b>Grand Total</b>	0	0	0	0

<sup>\*</sup>Complaints of previous months resolved in the current month, if any.

## Trend of annual disposal of complaints

SN	Year	Carried forward from the previous year	Received during the year	Resolved during the year	Pending at the endof the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	0	0	0
8	2024-2025	0	To be updated	To be updated	To be updated
	<b>Grand Total</b>	0	0	0	0

Note: Above information is issued pursuant to SEBI circular number SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated December 02, 2021

<sup>\*\*</sup>Total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.