

ANNEXURE-'C'

Investors Complaints Data

Name of the Depository Participant: o3 Securities Private Limited

CDSL Registration Number:

IN-DP-764-2024

Data for the month ending – April 2024

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
							Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Depositories	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0



Trend of monthly disposal of complaints

SN	Month	Carried forward from the	Received	Resolved*	Pending**
		previous month			
1	2	3	4	5	6
1	April -2023	0	0	0	0
2	May - 2023	0	0	0	0
3	June -2023	0	0	0	0
4	July - 2023	0	0	0	0
5	August - 2023	0	0	0	0
6	September - 2023	0	0	0	0
7	October -2023	0	0	0	0
8	November – 2023	0	0	0	0
9	December - 2023	0	0	0	0
10	January - 2024	0	0	0	0
11	February - 2024	0	0	0	0
12	March - 2024	0	0	0	0
13	April - 2024	0	0	0	0
	Grand Total	0	0	0	0

^{*}Complaints of previous months resolved in the current month, if any.

Trend of annual disposal of complaints

SN	Year	Carried forward from the previous year	Received during the Year	Resolved during the year	Pending at the end of the year	
1	2017-18	0	0	0	0	
2	2018-19	0	0	0	0	
3	2019-20	0	0	0	0	
4	2020-21	0	0	0	0	
5	2021-22	0	0	0	0	
6	2022-23	0	0	0	0	
7	2023-24	0	0	0	0	
8	2024-25	0	To be updated	To be updated	To be updated	
	Grand Total	0	0	0	0	

Note: Above information is issued pursuant to CDSL communique number CDSL/OPS/DP/POLCY/2021/589 dated December 25, 2021

^{**}Total complaints pending as on the last day of the month, if any.

[^]Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.