

**ANNEXURE-'B'****Investors Complaints Data**

**Name of Portfolio Manager:**  
o3 Securities Private Limited

**SEBI Registration Number:**  
INP000005430

**Data for the month ending – April 2024**

<b>Sr. No</b>	<b>Received From</b>	<b>Pending at the end of last month</b>	<b>Received</b>	<b>Resolved*</b>	<b>Total Pending#</b>	<b>Pending complaints &gt; 3 months</b>	<b>Average Resolution time^ (in days)</b>
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from the previous month	Received	Resolved*	Pending#
1	March - 2023	0	0	0	0
2	April -2023	0	0	0	0
3	May - 2023	0	0	0	0
4	June -2023	0	0	0	0
5	July - 2023	0	0	0	0
6	August - 2023	0	0	0	0
7	September - 2023	0	0	0	0
8	October -2023	0	0	0	0
9	November - 2023	0	0	0	0
10	December - 2023	0	0	0	0
11	January - 2024	0	0	0	0
12	February - 2024	0	0	0	0
13	March - 2024	0	0	0	0
14	April - 2024	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month.

# Inclusive of complaints pending as on the last day of the month.

**Trend of annual disposal of complaints**

SN	Year	Carried forward from the previous year	Received	Resolved*	Pending#
1	2018-19	0	0	0	0
2	2019-20	0	0	0	0
3	2020-21	0	0	0	0
4	2021-22	0	0	0	0
5	2022-23	0	0	0	0
6	2023-24	0	0	0	0
7	2024-25	0	To be updated	To be updated	To be updated
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous years resolved in the current year.

# Inclusive of complaints pending as on the last day of the year.

*Note: Above information is issued pursuant to SEBI circular number SEBI/HO/IMD/IMD-II\_DOF7/P/CIR/2021/681 dated December 10, 2021.*