

ANNEXURE-'B'

Investors Complaints Data

Name of the Stock Broker: o3 Securities Private Limited

SEBI Registration Number: INZ000291332

Data for the month ending – April 2024

SN	Received from	Carried forward from the previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0



Trend of monthly disposal of complaints

SN	Month	Carried forward from the previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April -2023	0	0	0	0
2	May - 2023	0	0	0	0
3	June -2023	0	0	0	0
4	July - 2023	0	0	0	0
5	August - 2023	0	0	0	0
6	September - 2023	0	0	0	0
7	October -2023	0	0	0	0
8	November -2023	0	0	0	0
9	December - 2023	0	0	0	0
10	January - 2024	0	0	0	0
11	February - 2024	0	0	0	0
12	March - 2024	0	0	0	0
13	April - 2024	0	0	0	0
	Grand Total	0	0	0	0

^{*}Complaints of previous months resolved in the current month, if any.

Trend of annual disposal of complaints

SN	Year	Carried forward from the previous year	Received during the year	Resolved during the year	Pending at the endof the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	0	0	0
8	2024-2025	0	To be updated	To be updated	To be updated
	Grand Total	0	0	0	0

Note: Above information is issued pursuant to SEBI circular number SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated December 02, 2021

^{**}Total complaints pending as on the last day of the month, if any.

[^]Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.